

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

☒ In re application of\*: Wu, et al.

Serial No.: 10/702,456

Confirmation No.: 9710

Filed: 11/07/2003

Examiner: Hong Sol Cho

For\*: ATM Header Compression Using Hash Tables

☐ Patent No.:

Issued:

NOTE: Insert name(s) of inventor(s) and title also for patent. Where the refund request is with respect to a maintenance fee payment also insert application serial number and filing date.

Mail Stop 16  
Director of the US Patent and  
Trademarks Office  
P.O. Box 1450  
Alexandria, VA 22313-1450

ATTENTION: Refund Section, Accounting Division, Office of Finance  
**REQUEST FOR REFUND**  
(37 C.F.R. 1.28(a))

NOTE: 37 C.F.R. § 1028(a) "(a) Refunds based on later establishment of small entity status. A refund pursuant to §1.26, based on establishment on small entity status, of a portion of fees timely paid in full prior to establishing status as a small entity may only be obtained if an assertion under § 1.27(c) and a request for refund of the excess amount are filed within three months of the date of the timely payment of the full fee. The three-month time period is not extendable under §1.136. Status as small entity is waived for any fee by the failure to establish the status prior to paying, at the time of paying, or within three months of the date of payment of, the full fee."

NOTE: Submission of a Change of Status (small/not small entity status) after issuance of the Notice of Allowance in an application does not result in a reduction in patent term adjustment under 37 C.F.R. §1.704(c)(1). See Notice of May 29, 2001, 1247 OG 111-112, June 26, 2001.

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**CERTIFICATE OF MAILING (37 C.F.R. 1.8)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being  
**MAILING**

- ☐ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope to the: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
- ☐ with sufficient postage as first class mail. 37
- C.F.R. § 1.8(a)
- ☒ as "Express Mail Post Office to Addressee" <sup>Via EES</sup>  
Mailing Label No.: (mandatory).  
37 C.F.R. §1.10

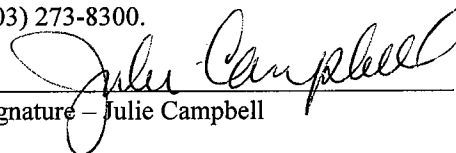
**TRANSMISSION**

- ☒ facsimile transmitted to the Patent and Trademark Office (703) 273-8300.

Date:

July 8, 2008

Signature - Julie Campbell



**I. SUBMISSION OF SMALL ENTITY ASSERTION**

- ☐ Attached is an assertion of small entity status in this application.  
☐ An assertion of small entity status was filed in this application on \_\_\_\_\_.

**II. REFUND REQUEST**

This request for refund is made within three months of the date a fee was paid in this application on (date) \_\_\_\_\_ in the amount of \$ \_\_\_\_\_.

*NOTE: The two-month period (§ 1.28(a)) is not included in the provisions for extension under 37 C.F.R. 1.136 since it is not a period for response. Notice of November 30, 1983, 49 FR 548, January 4, 1984.*

**III. FEES PAID FOR WHICH REFUND REQUESTED**

	AMOUNT OF REFUND REQUESTED
<input type="checkbox"/> filing fee	\$ _____
<input type="checkbox"/> surcharge for filing the basic filing fee on a date later than the filing date of the application (37 CFR 1.16(e)) and/or	\$ _____
<input type="checkbox"/> surcharge for filing the oath or declaration on a date later than the filing date of the application (37 CFR 1.16(e))	\$ _____
<input type="checkbox"/> extension of term	\$ _____
<input type="checkbox"/> issue fee	\$ _____
<input type="checkbox"/> patent maintenance fee	
<input type="checkbox"/> first maintenance fee	\$ _____
<input type="checkbox"/> second maintenance fee	\$ _____
<input type="checkbox"/> third maintenance fee	\$ _____
<input type="checkbox"/> patent maintenance fee surcharge.	

*NOTE: The refund provisions of § 1.28(a) for later submitted small entity statements apply to maintenance fees. Notice of July 30, 1984, 1046 O.G. 28-37*

- ☒ other: Duplicate Charge for IDS – see attached list of charges to our deposit account  
\$ 180.00

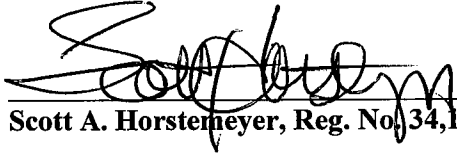
**TOTAL REFUND REQUESTED**

**\$ 180.00**

**IV. MANNER OF REFUND**

Please make refund by:

- ☒ Deposit Account No. **20-0778**.
- ☐ Credit card as shown on the attached credit card information authorization form PTO-2038.
- ☐ Refunding overpayment

  
\_\_\_\_\_  
**Scott A. Horstemeyer, Reg. No. 34,183**

**THOMAS, KAYDEN, HORSTEMEYER  
& RISLEY, L.L.P.**  
600 Galleria Parkway, Suite 1500  
Atlanta, Georgia 30339-5948  
770-933-9500



# United States Patent and Trademark Office



## Deposit Account Statement

**Requested Statement Month:** April 2008  
**Deposit Account Number:** 200778  
**Name:** THOMAS KAYDEN HOSTEMEYER & RISLEY  
**Attention:** SHANNON IVORY  
**Street Address 1:** 600 GALLERIA PKWY, SUITE 1500  
**Street Address 2:**  
**City:** ATLANTA  
**State:** GA  
**Zip:** 30339-5994  
**Country:** UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/01	13841	2554510	61605-3600	7205	\$100.00	\$27,725.98
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04/17 10790 09941229	050115-1050 2401	\$255.00	\$21,750.98
04/17 10836 77450483	651601-3080 7001	\$325.00	\$21,425.98
04/17 11825 78815150	740204-3250 7004	\$150.00	\$21,275.98
04/18 10 PCT/US08/59925	221907-2120 1701	\$1,109.00	\$20,166.98
04/21 371 61046105	271303-8030 2005	\$105.00	\$20,061.98
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START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE
\$27,825.98	\$33,913.00	\$36,194.00	\$30,106.98